PATENT TEW /41

Attorney's Docket No. 80398.P428

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Maritzen, et al.

Application No.: 09/930,608

Filed: August 15, 2001

For: Customizing a Price or a Service by Using an Intelligent Agent

Commissioner for Patents
P.O. Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

l he	reby o	by certify that this correspondence is being deposite	d with the United States Postal Service as first
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(Signature of person mailing correspondence)

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
X	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.

A check for \$180.00 for the fee under 37 C.F.R. §1.17(p)

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: / MP427, 2004

02-2666.

(2)

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute for Form 1449A/PTO (Modifi	ed)	Com	plete if Known	
INFORMATION DISC	CLOSURE	Application Number	09/930,608	
		Filing Date	8/15/01	
STATEMENT BY AF	PLICANT	First Named Inventor:	Maritzen	
JUN 0 1 2004 as many sheets as nece	essary)	Group Art Unit	3644	
		Examiner Name	Holzen, S.	
Sheet, of	3	Attorney Docket Number	80398.P428	1

,				U.S. PATENT DOCUMENTS		
Exam. Initial*	Cite No.1	U.S. Patent		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
		Number Code ²	Kind (If known)		MM-DD-YYYY	Passages or Relevant Figures Appear
		6,029,141		Bezos, et al.	2/22/00	
		6,282,552	B1	Thompson, et al.	8/28/01	
		6,311,214	B1	Rhoads	10/30/01	
		6,609,113	B1	O'Leary, et al.	8/19/03	
		6,878,235		Porterfield, et al.	3/2/99	
		5,319,589		Fraser, et al.	7/12/94	
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Exam. Initial*	Cite No. ¹	Forei Office ³	gn Patent D Number⁴	ocument Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner	Date Considered	
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute	for Form 1	449A/PTO (Modifie	d)	Complete if Known				
INEC)RMA	TION DISC	LOSURE	Application Number	09/930,60	8		
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强	رين 2004			Examiner Name	Holzen, S.			
neet	368	of	3	Attorney Docket Number	80398.P42	28		
RABEN		OTHE	R ART NO PATE	NT LITERATURE DOCUM	MENTS	. .	***	
Examiner Initials*	Cite No ¹	Include name of	the author (in CAPIT nagazine, journal, se	AL LETTERS), title of the artical, symposium, catalog, etc. isher, city and/or country whe	icle (when appro), date, page(s),	priate), title of the volume-issue	T ²	
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Examiner Signature					Date Considered			

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



dentary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A 1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent .	No previously published pre-grant publication
B2	Patent	Having a previously published pregrant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pregrant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
s	Design Patent	No change

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		ventor _		ritzen				
			364					
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	_ Appl	icant cla	ims sma	all entity status. Se	ee 37 CFR 1.27.			
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				Number : <u>02-26</u>	566			
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		_	-	future reply that req	•			be treated as
				n appropriate petition				
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FEE C	ALCUL	ATION						
1. <u>BAS</u>	SIC FILI	NG FEE						
Large E	Entity	Small E	Entity					
Fee	Fee	Fee	Fee					
Code	(\$)	Code	(\$)	Fee Description				Fee Paid
1001	770	2001	385	Utility application	n filing fee			
1002	340	2002	170	Design application	on filing fee			
1003	530	2003	265	Plant filing fee				
1004	770	2004	385	Reissue filing fee				
1005	160	2005	80	Provisional appli	cation filing fee			
						SUBT	TOTAL (1) \$_	0
2. <u>EX</u> 1	TRA CL	AIM FEE	S FOR	UTILITY AND RE	ISSUE			
							Fee from	
				Extra (<u>Claims</u>		below	Fee Paid
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Fee	Fee	Fee	Fee					
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1202	(\$) 18	2202	(\$)	Claims in excess of	of 20			
1202	86	2202 2201	43	Independent claim				
1201	290	2201	43 145	Multiple dependen		d		
1203	290 86	2203 2204	43	**Reissue indepen			natent	
1204	18	2204 2205	43 9	**Reissue claims i				
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SUBTOTAL (2) \$___0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity Small Entity								
Fee	Fee	Fee	Fee						
Code	(\$)	Code	(\$)	Fee Description	Fee Paid				
1051	130	2051	65	Surcharge - late filing fee or oath					
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet					
1053	130	1053	130	Non-English specification					
1812	2,520	1812	2,520	For filing a request for ex parte reexamination					
1813	8,800	1813	8,800	Request for inter parties reexamination					
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action					
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
1251	110	2251	55	Extension for reply within first month					
1252	420	2252	210	Extension for reply within second month					
1253	950	2253	475	Extension for reply within third month					
1254	1,480	2254	740	Extension for reply within fourth month					
1255	2,010	2255	1,005	Extension for reply within fifth month					
1401	330	2401	165	Notice of Appeal					
1402	330	2402	165	Filing a brief in support of an appeal					
1403	290	2403	145	Request for oral hearing					
1451	1,510	1451	1,510	Petition to institute a public use proceeding					
1452 1453	110 1,330	2452 2453	55 665	Petition to revive – unavoidable Petition to revive - unintentional					
1501		2453 2501	665	Utility issue fee (or reissue)					
1501	1,330 480	2502	240	, ,	***				
1502	640	2502 2503	320	Design issue fee Plant issue fee					
1460	130	1460	130	Petitions to the Commissioner					
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)					
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00				
8021	40	8021	40	Recording each patent assignment per	100.00				
002.	40	0021	40	property (times number of properties)					
1809	770	2809	385	For filing a submission after final rejection					
		2000	000	(see 37 CFR 1.129(a))					
1814	110	2814	55	Statutory Disclaimer					
1810	770	2810	385	For each additional invention to be examined					
				(see 37 CFR 1.129(b))					
1801	770	2801	385	Request for Continued Examination (RCE)					
1802	900	1802	900	Request for expedited examination of a design					
				application					
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.					
1505	300	1505	300	Publication fee for republication					
1803	130	1803	130	Request for voluntary publication or republication					
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)					
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority					
Other fo	ee (specify	/)							
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				SUBTOTAL (3) \$ 18	30.00				
	ed by Basic	<u></u>	Paid						
SUBMI	ITTED BY	:							
Typed	or Printe	d Name:	Sheryl	Sue Holloway	<u> </u>				
Signat			<i></i>	Date: MAY 27, 8	004				
Reg. N	lumber:	37,850		Telephone Number:408-720-8300	<u>)</u>				